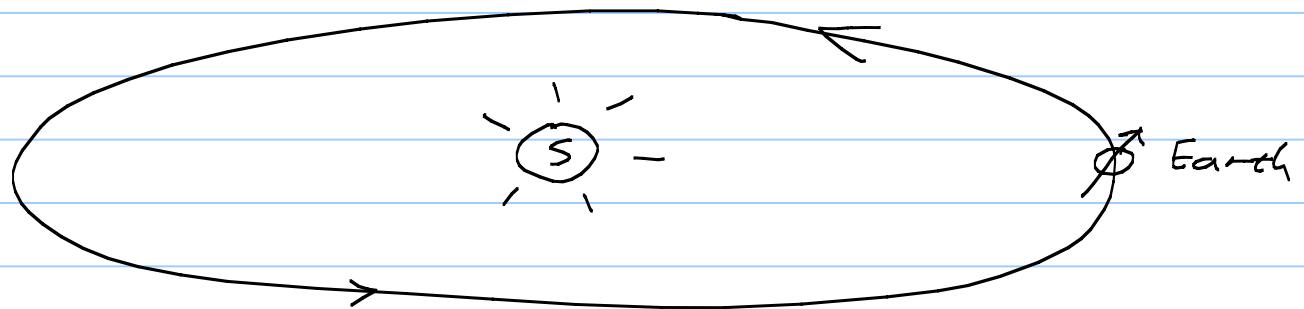


# Spin

Note Title

Earth - Sun analogy



\* Orbital angular momentum of earth  
 $\vec{L}$  : rotation of earth around sun

\* Spin angular momentum of earth  
 $\vec{s}$  : rotation of earth around the south-north pole

Classically  $\left\{ \begin{array}{l} \vec{L} = \vec{r} \times \vec{p} \\ \vec{s} = I \frac{\vec{\omega}}{\omega} \end{array} \right.$ , but

$\vec{s}$  is nothing more than the sum of the orbital angular momenta of the component materials (for earth, rocks and soils, etc.)

\* In quantum mechanics, especially for elementary particles, such as electron, spin is an intrinsic property of

the particle, and we cannot define its wave function such as  $Y_l^m(\theta, \phi)$ .

Using the analogy to the orbital angular momentum  $\vec{L}$ , we start from the same commutation relations, i.e.

$$[S_x, S_y] = i\hbar S_z, \quad [S_y, S_z] = i\hbar S_x \\ [S_z, S_x] = i\hbar S_y.$$

In the previous lectures, we have seen that through algebraic methods, these commutation relations automatically generate the full spectrum of eigenvalues for  $S^2$  and  $S_z$  such that

$$S^2 |s m\rangle = \hbar^2 s(s+1) |s m\rangle \\ S_z |s m\rangle = \hbar m |s m\rangle$$

For comparison, the equivalent equations for the orbital angular momentum were

$$L^2 Y_l^m(\theta, \phi) = \hbar^2 l(l+1) Y_l^m(\theta, \phi) \\ L_z Y_l^m(\theta, \phi) = \hbar m Y_l^m(\theta, \phi)$$

Note that for spin, it is impossible to define the eigenstate as a spatial coordinate

such as  $(\theta, \phi)$ .

So the Dirac notation is almost a must for the spin case.

If we use the Dirac notation even for the orbital angular momentum,

$$Y_e^m(\theta, \phi) = |l m\rangle$$

But

$$|s, m\rangle \neq Y_s^m(\theta, \phi)$$

The spin eigenstate is **NOT** spherical harmonics or any other function of  $(\theta, \phi)$

- \* The ladder operators are also defined in the same way as before

$$S^\pm |s m\rangle = A_s^m |s(m \pm 1)\rangle$$

, where  $S_\pm = S_x \pm i S_y$  and  $A_s^m$  is just some constant for normalization, and gives as  $A_s^m = \sqrt{s(s+1)-m(m\pm 1)}$  proven in G. prob. 4, 18.

- \*  $S = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$   
and  $m = -s, -s+1, \dots, s-1, s$

Every elementary particle has its own permanent  $s$  value

S	name of elementary particle
1/2	electron, quarks, ...
1	photon
3/2	delta
;	;

But "l" can take any values.

(e.g. orbital angular momentum of the electron in a hydrogen atom).

Spin $\frac{1}{2}$	$S = \frac{1}{2}$
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$$|s m\rangle \quad \left\{ \begin{array}{l} |\frac{1}{2} \frac{1}{2}\rangle \equiv | \uparrow \rangle \text{ spin up} \\ |\frac{1}{2} -\frac{1}{2}\rangle \equiv | \downarrow \rangle \text{ spin down} \end{array} \right.$$

Any state in the Hilbert space spanned by these two basis states can be written as  $x = \begin{pmatrix} a \\ b \end{pmatrix} = a x_+ + b x_-$

$$\text{with } x_+ = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = | \uparrow \rangle = | 1 \rangle$$

$$\text{and } x_- = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = | \downarrow \rangle = | 2 \rangle$$

$x$  is called a "spinor"

In this spin  $\frac{1}{2}$  space, all operators can be expressed as  $2 \times 2$  matrices.

$$\begin{aligned} S^2 X_{\pm} &= S^2 |\frac{1}{2} \pm \frac{1}{2}\rangle = \hbar^2 \frac{1}{2} \cdot \frac{3}{2} |\frac{1}{2} \pm \frac{1}{2}\rangle \\ &= \frac{3}{4} \hbar^2 X_{\pm} \end{aligned}$$

$$\Rightarrow \langle 1 | S^2 | 1 \rangle = \langle 2 | S^2 | 2 \rangle = \frac{3}{4} \hbar^2$$

$$\langle 1 | S^2 | 2 \rangle = \langle 2 | S^2 | 1 \rangle = 0$$

$$\begin{aligned} S_0 \quad S^2 &= \begin{pmatrix} \langle 1 | S^2 | 1 \rangle & \langle 1 | S^2 | 2 \rangle \\ \langle 2 | S^2 | 1 \rangle & \langle 2 | S^2 | 2 \rangle \end{pmatrix} \\ &= \frac{3}{4} \hbar^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

$$S_z X_+ (= S_z |1\rangle) = \frac{\hbar}{2} X_+$$

$$S_z X_- (= S_z |2\rangle) = -\frac{\hbar}{2} X_-$$

$$\Rightarrow \langle 1 | S_z | 1 \rangle = \frac{\hbar}{2}, \quad \langle 2 | S_z | 2 \rangle = -\frac{\hbar}{2}$$

$$\langle 1 | S_z | 2 \rangle = \langle 2 | S_z | 1 \rangle = 0$$

$$\Rightarrow S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Also from  $S_{\pm} (S_m) = \hbar \sqrt{s(s+1)-m(m\pm 1)} |S(m\pm 1)\rangle$

$$S_+ |\frac{1}{2} \pm \frac{1}{2}\rangle = \hbar \sqrt{\frac{3}{4} - (-\frac{1}{2}) \frac{1}{2}} |\frac{1}{2} \pm \frac{1}{2}\rangle = \hbar |\frac{1}{2} \pm \frac{1}{2}\rangle$$

$$S_- |\frac{1}{2} \pm \frac{1}{2}\rangle = \hbar \sqrt{\frac{3}{4} - \frac{1}{2} (-\frac{1}{2})} |\frac{1}{2} \pm \frac{1}{2}\rangle = \hbar (\frac{1}{2} \pm \frac{1}{2})$$

$$\downarrow s_+ | \uparrow \rangle = 0$$

$$s_0 s_+ | 2 \rangle = \lambda | 1 \rangle, s_+ | 1 \rangle = 0$$

$$s_- | 1 \rangle = \lambda | 2 \rangle, s_- | 2 \rangle = 0$$

$$\uparrow s_- | \downarrow \rangle = 0$$

$$\Rightarrow \langle 1 | s_+ | 1 \rangle = \langle 2 | s_+ | 2 \rangle = 0$$

$$\langle 1 | s_+ | 2 \rangle = \lambda$$

$$\langle 2 | s_+ | 1 \rangle = 0$$

$$\Rightarrow s_+ = \lambda \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$\text{Similarly } s_- = \lambda \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\text{From } s_{\pm} = s_x \pm i s_y$$

$$\Rightarrow s_x = \frac{1}{2} (s_+ + s_-)$$

$$\text{and } s_y = \frac{1}{2i} (s_+ - s_-)$$

$$\therefore s_x = \frac{\lambda}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$s_y = \frac{\lambda}{2i} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \frac{\lambda}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\text{So if we define } \vec{s} = \frac{\lambda}{2} \vec{\sigma}$$

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

These are called Pauli matrices.

$S_x$ ,  $S_y$ ,  $S_z$ , and  $S^2$  are hermitian  
But  $S_{\pm}$  are not hermitian,

\* With  $\chi = \begin{pmatrix} a \\ b \end{pmatrix}$  a general spinor,

What are the probabilities of measuring  $S_z$  of  $\frac{\hbar}{2}$  and  $-\frac{\hbar}{2}$ ?

$$\chi = a \begin{pmatrix} 1 \\ 0 \end{pmatrix} + b \begin{pmatrix} 0 \\ 1 \end{pmatrix} = a \underline{\left| \uparrow \right\rangle} + b \underline{\left| \downarrow \right\rangle}$$

$$S_z = \frac{\hbar}{2} \quad S_z = -\frac{\hbar}{2}$$

so  $P(\frac{\hbar}{2}) = |a|^2$  and  $P(-\frac{\hbar}{2}) = |b|^2$   
with  $\chi$  normalized properly.

[Ex.] For  $\chi = \frac{1}{\sqrt{2}} \begin{pmatrix} 1+i \\ 1-i \end{pmatrix}$

What are possible values for  $S_x$  measurement and their probabilities?

[Answer]  $S_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Let's find its eigenvalues and eigenstates.

$$S_x \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \lambda \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

$$\Rightarrow \begin{vmatrix} -\lambda & \frac{\hbar}{2} \\ \frac{\hbar}{2} & \lambda \end{vmatrix} = 0 \Rightarrow \lambda^2 - \left(\frac{\hbar}{2}\right)^2 = 0$$
$$\Rightarrow \lambda = \pm \frac{\hbar}{2}$$

$$\text{For } \lambda = \frac{\hbar}{2}$$

$$-\frac{\hbar}{2}\alpha + \frac{\hbar}{2}\beta = 0 \Rightarrow \beta = \alpha$$

$$\Rightarrow \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$\text{For } \lambda = -\frac{\hbar}{2}$$

$$\Rightarrow \frac{\hbar}{2}\alpha + \frac{\hbar}{2}\beta = 0 \Rightarrow \beta = -\alpha$$

$$\Rightarrow \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$\text{So if } \chi = a |\chi_+^{(\alpha)}\rangle + b |\chi_-^{(\alpha)}\rangle$$

$$\Rightarrow P(S_x = \frac{\hbar}{2}) = |\langle \chi_+^{(\alpha)} | \chi \rangle|^2 \\ = |a|^2$$

$$P(S_x = -\frac{\hbar}{2}) = |\langle \chi_-^{(\alpha)} | \chi \rangle|^2 \\ = |b|^2$$

$$\text{Thus } P(S_x = \frac{\hbar}{2}) = \left| \frac{1}{\sqrt{2}} (1, 1) \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \right|^2 \\ = \left| \frac{1}{\sqrt{2}} (1, 1) \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \right|^2 \\ = \frac{1}{\sqrt{2}} (1, 1) \underbrace{\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}}_{= 2} = \frac{5}{6}$$

$$\begin{aligned}
 P(S_x = -\frac{k}{2}) &= \left| \frac{1}{\sqrt{2}}(1, -1) \frac{1}{\sqrt{2}} \begin{pmatrix} 1+i \\ 2 \end{pmatrix} \right|^2 \\
 &= \left| \frac{1}{\sqrt{2}} (1+i - 2) \right|^2 \\
 &= \frac{1}{12} (1+1) = \underline{\underline{\frac{1}{6}}}
 \end{aligned}$$

What is  $\langle S_x \rangle$  here?

Two methods

$$\begin{aligned}
 \textcircled{1} \quad \langle S_x \rangle &= P(\frac{k}{2}) \cdot \frac{k}{2} + P(-\frac{k}{2}) \left( -\frac{k}{2} \right) \\
 &= \frac{5}{6} \frac{k}{2} + \frac{1}{6} \left( -\frac{k}{2} \right) \\
 &= \frac{4k}{12} = \frac{k}{3}
 \end{aligned}$$

$$\begin{aligned}
 \textcircled{2} \quad \langle S_x \rangle &= \langle X | S_x | X \rangle \\
 &= \frac{1}{\sqrt{6}} (1-i, 2) \cdot \frac{k}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot \frac{1}{\sqrt{2}} \begin{pmatrix} 1+i \\ 2 \end{pmatrix} \\
 &= \frac{k}{12} (1-i, 2) \begin{pmatrix} 2 \\ 1+i \end{pmatrix} = \frac{k}{12} (2 - 2i + 2 + 2i) \\
 &= \frac{4k}{12} = \frac{k}{3}
 \end{aligned}$$

\* If a spin  $\frac{1}{2}$  particle is in  $\chi_+ = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  state, what are the possible values of the  $z$ -component of the spin angular momentum?

$$\Rightarrow \frac{\hbar}{2}, 100\%$$

\* Now if you measure  $x$ -component of the spin angular momentum, what are the possible values and their probabilities?

Since  $\chi_+^{(x)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $\chi_-^{(x)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$ ,

$$P(S_x = \frac{\hbar}{2}) = |\langle \chi_+^{(x)} | \chi_+ \rangle|^2$$

$$= \left| \frac{1}{\sqrt{2}} (1, 1) \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right|^2 = \frac{1}{2}$$

$$P(S_x = -\frac{\hbar}{2}) = |\langle \chi_-^{(x)} | \chi_+ \rangle|^2$$

$$= \left| \frac{1}{\sqrt{2}} (1, -1) \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right|^2 = \frac{1}{2}$$

\* Now if your measurement of  $S_x$  yielded  $\frac{\hbar}{2}$ , what is the state of the particle after the measurement?

$$\Rightarrow \chi_+^{(x')} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$